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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

William R. Fountaine
ATTORNEY FOR APPLICANT

June 16, 1998
DATE OF SIGNATURE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of)
WILLIAM R. FOUNTAINE) Group Art Unit: 3722
on SMALL-SHANK TOOL FOR) Preliminary Class: 407
AUTOMATIC LATHES)
Serial No.: 09/036,346)
Filed On: March 6, 1998) (Our Docket No. 5041-03-1)

Hartford, Connecticut, June 16, 1998

Hon. Assistant Secretary and Commissioner
of Patents and Trademarks
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 C.F.R. § 1.56, the references set forth below and listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Each of the cited references is of record in parent application serial no. 08/370,023, and therefore pursuant to 37 C.F.R. § 1.98(d), copies of the cited references are not enclosed.

If the Examiner requires copies of any cited references, however, the undersigned will provide such copies at the Examiner's request.

References:

Engineered Tooling Corporation Catalogue, "Mini-Shank
Tooling Indexable Inserts", 1990

Kennametal, Inc., "Lathe Tooling for Swiss Style Turning
Centers", Catalog 4004, 1994

Max Bar™ Brand Catalogue, "Tool Holders and Inserts for CNC
'Swiss-Type' Automatics", 1992

Micro 100, Inc., "Super Carbide Tools", Catalog 952, 1995

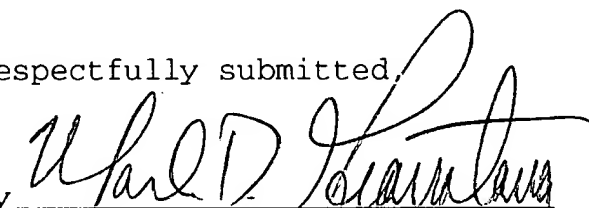
Tornos Bechler S.A., "General Catalog" (no date)

Each reference dated less than one year prior to the effective filing date of this application is expressly not admitted to be prior art with respect to this application, but rather is being brought to the attention of the Examiner as indicative of the state of the art.

It is respectfully requested the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

Respectfully submitted,

By



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